

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peterson, Gary E. Examiner: Pillai, Namitha  
Application Number: 10/716,893 Art Unit: 2173  
Confirmation Number: 8694  
Filing Date: November 18, 2003  
Title: INTERACTIVE RISK MANAGEMENT  
SYSTEM AND METHOD

**SUPPLEMENTAL TRANSMITTAL OF**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dated: September 25, 2009

Sir:

The Information Disclosure Statement submitted herewith includes, in compliance with MPEP §2004, paragraph 9, specific reference to co-pending applications U.S. Application Numbers 10/868,484 and 11/223,468. References cited in these applications and not cited in the present application are listed on the enclosed IDS Form SB08a. In addition, based on the holding in *Dayco Prod., Inc. v. Total Containment, Inc.*, 329 F.3d 1358, 1365-69 (CAFC 2003), the Office Actions in U.S. Application Numbers 10/868,484 and 11/223,468 are listed on the enclosed IDS Form SB08a, and copies are provided with this submission.

Respectfully submitted,

/Ralph J. Crispino/  
Ralph J. Crispino, Reg. No. 46,144  
Attorney for Applicant

ABELMAN, FRAYNE & SCHWAB  
Customer Number 38,137  
666 Third Avenue  
New York, NY 10017-5621  
(212) 949-9022  
(212) 949-9190  
Direct Tel. No.: (212) 885-9358